

Proving NP- Completeness

Theorem 1.: 3SAT is NP-complete

3SAT is the restriction of **SAT** to the case where every clause includes *exactly* three variables. (This regular structure makes it easier to transform than **SAT**.)

- **3SAT** is in **NP** [obvious, if only because it is a subset of **SAT**].
- Given an arbitrary instance of **SAT**, we show how to transform it into an instance of **3SAT**. Look in turn at each clause of the instance of **SAT**:
- If it has *fewer* than three variables, repeat a variable as necessary; *e.g.*
 $(a \text{ or not } b) \Rightarrow (a \text{ or } a \text{ or not } b)$.
- If it has *more* than three variables, introduce a new variable, and use it to split the clause into one with three variables and another with one fewer variables [which can be reduced the same way]: *e.g.*
 $(a \text{ or not } b \text{ or } c \text{ or not } d \text{ or not } e) \Rightarrow (a \text{ or not } b \text{ or } z) \text{ and } (\text{not } z \text{ or } c \text{ or not } d \text{ or not } e)$.
- The resulting formula can clearly be produced in time proportional to the size of the instance, and is clearly satisfiable if and only if the original formula was. So
- $\text{SAT} \leq_p \text{3SAT}$. Since **SAT** is NP-complete, so must be **3SAT**. QED.

Theorem 2.: Hamilton cycle is NP-complete

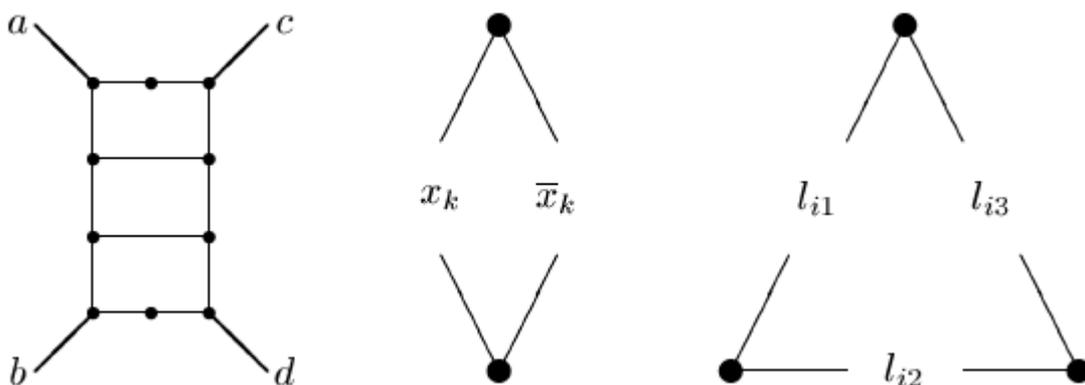
Proof: The fact that it is in NP is trivial. To see that it is NP-complete, we'll reduce from 3-SAT. Let: $F = \bigwedge_i (l_{i1} \vee l_{i2} \vee l_{i3})$ be an instance of 3-SAT over variables $x_1 \dots x_n$.

Now we wish to create a graph G such that G has a Hamilton cycle if and only if F is satisfiable.

IDEA: construct an exclusive-or gadget. To strip the nodes of the gadget, a Hamilton cycle must do exactly one of the following:

1. enter and leave through a and b, or
2. enter and leave through c and d

We will use the following gadgets:



The gadget on the left is this exclusive-or gadget.

Now, the reduction is as follows:

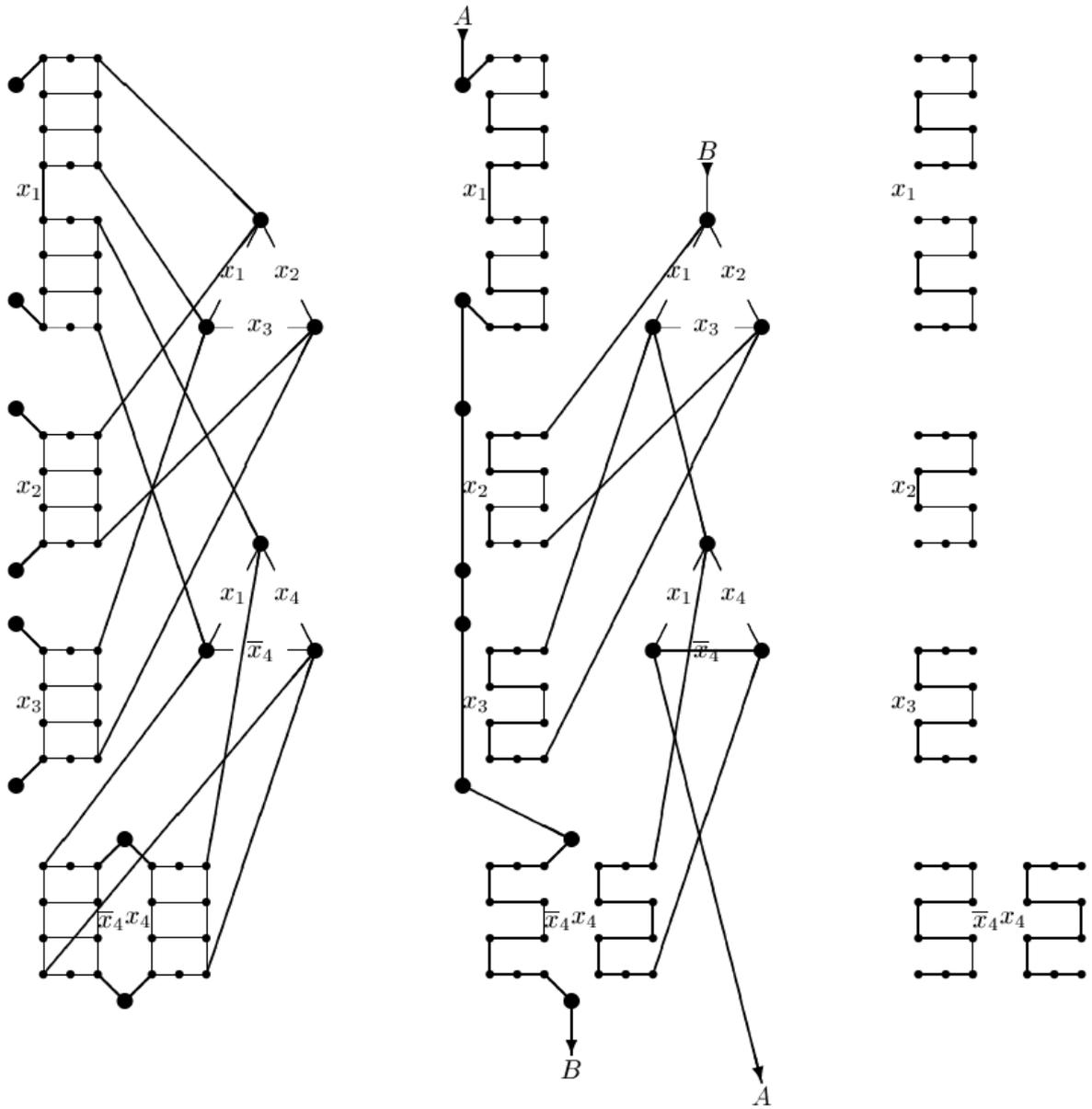
- For each variable x_k have two nodes as shown in the middle diagram. (Call these two nodes supernodes to distinguish them from the nodes in the exclusive-or gadgets.)
- Similarly, each clause has three supernodes with three paths as in the right diagram. All of the supernodes are connected in all possible ways by edges (These edges are not shown).
- For the xor gadgets:
 - If the i^{th} clause has literal $l_{ij} = x_k$ (or $\neg x_k$) then attach an exclusive-or gadget. Nodes a and b are attached to the two variable supernodes, and nodes c and d are attached to the two clause supernodes for l_{ij} .
 - Lastly, if a literal x_k (or $\neg x_k$) occurs in more than one clause, the xor-gadgets are attached in series; b of one gadget is tied to a of the next.

The reduction is clearly polynomial time.

Example:

$$F = (x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_4 \vee \overline{x_4})$$

1. The graph on the left represents the reduction, where all supernodes are connected in a clique.
2. The middle shows a Hamilton cycle representing the satisfying assignment $x_1=T$ and $x_2=x_3=x_4=F$.
3. On the right is shown how to extract an assignment from a Hamilton cycle. Just look at which side of each xor-gadget is stripped. Here $x_1=x_2=T$, $x_3=F$, and x_4 and $\neg x_4$ are both False. That is, if x_4 were set to either true or false, the formula would still be satisfied.



Theorem 3.:The TSP is NP-complete.

Proof.:

1. TSP is NP!

2. HAM-CYCLE \leq_p TSP (we give a graph, and a bound: B)

Let $G = (V;E)$ be an instance of HAM-CYCLE. For the complete graph $G' = (V;E')$ and two vertices $i; j \in V$, we may define

$$c(i; j) = 0 \text{ if } \{i;j\} \in E$$

$$c(i; j) = 1 \text{ if } \{i;j\} \notin E$$

Clearly, G has a hamiltonian cycle if and only if G' has a tour of cost at most 0.